



2023 ANTI-DOPING RULES

APPENDIX 2

ATHLETICS ANTI-DOPING PROTOCOLS

The following protocols are designed to supplement the International Standards as necessary to reflect the specificities of Athletics and the Integrity Unit's anti-doping programme. The protocols should be read alongside the International Standards and are not intended to amend or contradict such Standards. In the event of conflict between these protocols and the International Standards, the latter shall prevail.

References in this Appendix to the Integrity Unit will, where applicable, be references to the Integrity Unit acting on behalf of World Athletics.

1. Whereabouts Filings

- 1.1 To facilitate the Integrity Unit's planning for Testing from the first day of a quarter, the Integrity Unit shall ordinarily require Athletes in the International Registered Testing Pool to submit their Whereabouts Filings by no later than the 15th day of the month preceding the relevant quarter.
- 1.2 In addition to the information set out in Article 4.8.8.2 of the International Standard for Testing and Investigations, Athletes in the International Registered Testing Pool shall be required to include the following further information as part of their quarterly Whereabouts Filing (and to keep such information updated at all times):
 - (a) Their current mobile telephone number(s);
 - (b) The name and contact information (personal e-mail address and mobile telephone number(s)) of each of their coach(es);
 - (c) The name and contact information (personal e-mail address and mobile telephone number(s)) of each of their manager(s), agent(s) and other authorised representative(s);
 - (d) The name and contact information of each of the clubs and/or regional organisations to which they are registered or affiliated; and
 - (e) any other relevant information as determined by the Integrity Unit from time to time.
- 1.3 Athletes who are not in the International Registered Testing Pool may be required to provide the information in 1.2 above upon request from the Integrity Unit.
- 1.4 Whereabouts Filings shall at all times be made in ADAMS, unless ADAMS is unavailable for technical reasons or the Athlete is clearly in emergency circumstances which prevents access to ADAMS, in which case Whereabouts Filings and/or any updates thereto may exceptionally be filed with the Integrity Unit by e-mail to an address provided for the purpose by the Integrity Unit (for the avoidance of doubt, an e-mail sent to the Athlete's National Anti-Doping Organisation, or any other Anti-Doping Organisation, shall not be accepted for this purpose). To be a valid submission of a Whereabouts Filing or update thereto by e-mail, the Athlete must include all of the information specified in Article 4.8.8.2 of the International Standard for Testing and Investigations and 1.2 above and/or the update must provide sufficient information to enable a DCO to locate the Athlete without advanced notice. The Whereabouts Filing or update must also include a detailed description and evidence of the technical issue experienced with ADAMS and/or the emergency circumstances experienced that prevented the Athlete from accessing ADAMS. For the avoidance of doubt, it shall not be a defence to an allegation of a Whereabouts Failure that an Athlete (or a third party with

delegated authority) sought to submit a Whereabouts Filing (or update thereto) in ADAMS, but ADAMS was unavailable for technical reasons, if the Athlete did not as soon as possible thereafter make their Whereabouts Filing and/or updates thereto by e-mail to the specified address. Save as provided in this paragraph, if an Athlete submits their Whereabouts Filings and/or any updates thereto by a means other than ADAMS, the Integrity Unit may pursue a Whereabouts Failure against them.

[Comment to 1.4: An Athlete's attempt(s) to submit their Whereabouts Filings or any updates thereto in ADAMS can at all times be verified through WADA. If an Athlete, having attempted to submit or update their Whereabouts Filing in ADAMS, claims that they were unable to do so for technical reasons, the Integrity Unit will investigate the position with WADA but this should not stop the Athlete from making their Whereabouts Filings by e-mail in strict accordance with the above. For the avoidance of doubt, references in this Rule to ADAMS shall also apply to alternative whereabouts systems that may be approved by the Integrity Unit e.g., SIMON].

- 1.5 In accordance with Article 4.8.14.3 of the International Standard for Testing and Investigations, Athletes may delegate the task of making their Whereabouts Filings (and/or any updates thereto) to a third party, such as a coach, a manager or a Member Federation, provided that the third party agrees to such delegation. In such circumstances, notification made by the Integrity Unit to the mailing address or e-mail address of the third party concerned shall be deemed to be notice to the Athlete for the formal notice purposes described in Article 4.8.8.2(a) of the International Standard for Testing and Investigations.
- 1.6 The Integrity Unit may identify a second tier of Athletes whom it does not require to provide whereabouts information in accordance with Article 4.8.8.2 of the International Standard for Testing and Investigations but for whom it does require some whereabouts information in order to be able to conduct Testing on them (for example, basic contact information, the Athlete's main place of residence, regular training location and anticipated competition schedule for the year or other period). The Integrity Unit shall inform the Athletes what whereabouts information is required of them, when it is required of them and in what form it is required. If an Athlete in the second tier fails to comply with the whereabouts requirements applicable to him, the Integrity Unit shall consider moving the Athletes up to the International Registered Testing Pool.

2. Sample Collection Personnel

- 2.1 Sample Collection Personnel shall have official documentation, provided by the Sample Collection Authority, evidencing their authority to collect a Sample, such as an authorisation letter from the Integrity Unit on behalf of World Athletics.
- 2.2 DCOs shall also carry complementary identification which includes their name and photograph (i.e., identification card from the Sample Collection Authority, driver's licence, health card, passport or similar valid identification) and the expiry date of the identification. For BCOs, identification requirements shall include their name and photograph and evidence of their qualification in the collection of blood Samples.

3. Collection of Information in relation to the Sample Collection Session

- 3.1 The Integrity Unit may require information in addition to that set out in Article 7.4.5 of the International Standard for Testing and Investigations to be recorded in relation to the Sample Collection Session.
- 3.2 All information recorded in relation to the Sample Collection Session does not need to be consolidated in a single Doping Control form but rather may be collected during the Sample

Collection Session and/or on other official documentation such as a separate notification form and/or supplementary report.

4. Security/Post-Test Administration

- 4.1 The DCO/other responsible person shall be responsible for ensuring that all Samples collected at the Doping Control Station and corresponding Sample collection documentation are securely stored prior to their dispatch from the Doping Control Station.
- 4.2 The DCO/other responsible person shall keep the Samples secured and under their control until they are passed to a third party (e.g., the Laboratory or a courier to take them to the Laboratory). Samples must not be left unattended unless they are locked in a secure area, refrigerator or cupboard for example. In the absence of a secure place where the Samples may be left, the DCO/other responsible person shall keep the Samples under their control. Access to Samples shall be restricted at all times to authorised personnel.
- 4.3 The DCO/other responsible person should ensure that all sealed Samples are stored in appropriate conditions in a manner that protects their integrity, identity and security prior to transport from the Doping Control Station. Where possible, urine Samples shall be stored in a cool environment, with warm conditions avoided.
- 4.4 Samples shall be transported in accordance with the International Standard for Testing and Investigations.
- 4.5 All information relating to the Chain of Custody of the Samples collected should be recorded, including confirmation that the Samples have arrived at their intended destination.

5. Doping Controls for World Record ratification

- 5.1 Doping controls for the purposes of ratifying a World Record shall be conducted in accordance with World Athletics Competition Rule 31.3.5 as may be amended from time to time.

6. Analysis of Samples: Costs

- 6.1 In accordance with Article 5.1.2.1(c) of the International Standard for Results Management, the costs of the "B" Sample analysis shall be paid by the Athlete (including without limitation the costs of the Athlete's representative attending the "B" Sample analysis).
- 6.2 In accordance with Article 5.1.2.1(e) of the International Standard for Results Management, the costs relating to the issuance of the Laboratory Documentation Package(s) shall be paid by the Athlete.